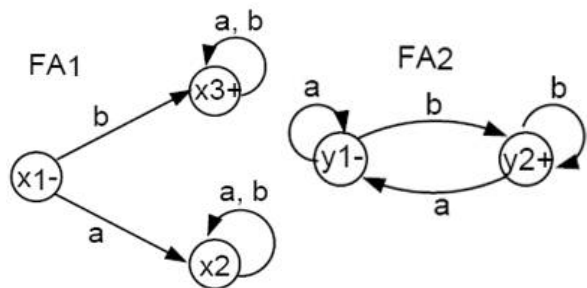


**Algorithm:**

1. First, create a state  $z$  for every state of  $FA_1$  that we may go through before arriving at a final state.
2. For each final state  $x_{final}$  of  $FA_1$ , add a state  $z = x_{final}$  or  $y_1$ , where  $y_1$  is the start state of  $FA_2$ .
3. From the states added in step 2, add states

$$z = \begin{cases} x_{something} \text{ indicating that we are still continuing on } FA_1. \\ \text{OR} \\ \text{a set of } y_{something} \text{ indicating that we are on } FA_2 \end{cases}$$

4. Label every state  $z$  that contains a final state from  $FA_2$  as a final state.

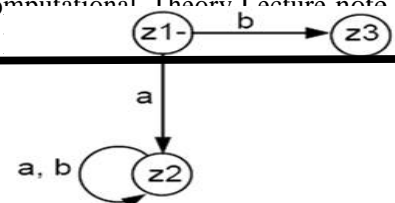
**Example:**

- $FA_1$  accepts all words that start with a  $b$ .
- $FA_2$  accepts all words that end with a  $b$ .
- We will use the above

algorithm to construct  $FA_3$  that accepts the product of the languages of  $FA_1$  and  $FA_2$ , respectively.

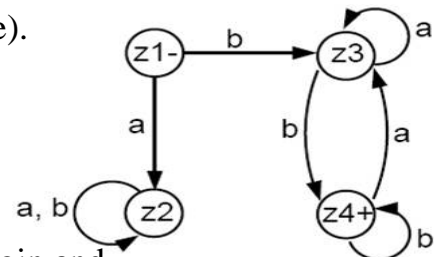
That is,  $FA_3$  will accept all words that both start and end with the letter  $b$ .

- Initially, we must begin with  $x_1 = z_1$ .
- In  $z_1$ , if we read an  $a$ , we go to  $x_2 = z_2$ .
- In  $z_1$ , if we read a  $b$ , we go to  $x_3$ , a final state, which gives us the option to jump to  $y_1$ . Hence, we label  $z_3 = x_3$  or  $y_1$ .
- From  $z_2$  just like  $x_2$ , both an  $a$  or a  $b$  take us back to  $z_2$ , i.e., we have a loop here.



- In  $z3$ , if we read an  $a$  then the following happens. If  $z3$  is  $x3$ , we can stay in  $x3$  or jump to  $y1$  (because  $x3$  is a final state). If  $z3$  is  $y1$ , we would loop back to  $y1$ . In any of the events, we end up at either  $x3$  or  $y1$ , which is still  $z3$ . Hence, we have an  $a$ -loop at  $z3$ .
- In  $z3$ , if we read a  $b$ , then a different event takes place. If  $z3$  is  $x3$  we either stay in  $x3$  or jump to  $y1$ . If  $z3$  is  $y1$ , then we go to  $y2$ , a final state. Hence, we need a new final state  $z4 = x3$  or  $y1$  or  $y2$ .
- In  $z4$ , if we read an  $a$ , what happens? If  $z4$  is  $x3$  then we go back to  $x3$  or jump to  $y1$ . If  $z4$  is  $y1$  then we loop back to  $y1$ . If  $z4$  is  $y2$ , we go to  $y1$ . Thus, from  $z4$ , an  $a$  takes us to  $x3$  or  $y1$ , which is  $z3$ .
- In  $z4$ , if we read a  $b$ , what happens? If  $z4$  is  $x3$ , we go back to  $x3$  or jump to  $y1$ .

If  $z4$  is  $y1$ , we go to  $y2$ , a final state. If  $z4$  is  $y2$ , we loop back to  $y2$ , a final state. Hence, from  $z4$  a  $b$  takes us to  $x3$  or  $y1$  or  $y2$ , which is still  $z4$  (i.e., we have a  $b$ - loop here).



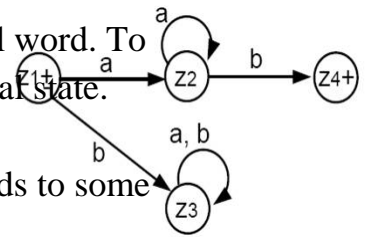
- This machine accepts all words that both begin and end with the letter  $b$ , which is what the product of the two languages (defined by  $FA_1$  and  $FA_2$  respectively) would be.
- If you multiply the two languages in opposite order (i.e. first  $FA_2$  then  $FA_1$ ), then the product language will be different. What is that language? Can you build a machine for that product language

#### **Rule 4:**

- **If  $r$  is a regular expression and  $FA_1$  is a finite automaton that accepts exactly the language defined by  $r$ , then there is an FA, called  $FA_2$ , that will accept exactly the language defined by  $r$ .**

#### **Proof of Rule 4:**

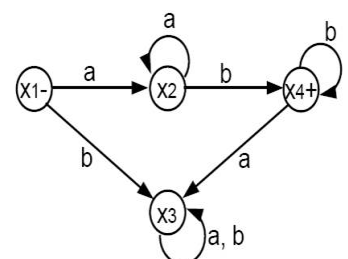
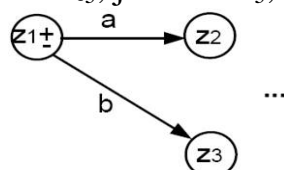
- The language defined by  $r$  must always contain the null word. To accept, we must indicate that the start state is also a final state. This must be done carefully.
- The general rule is that each  $z$ -state (of  $FA_2$ ) corresponds to some collection of  $x$ -states (of  $FA_1$ ). We must remember each time we reach a final state; it is possible that we have to start over again at  $x_1$ .
- The transmissions from one collection of  $x$ -states to another based on reading certain input letters is determined by the transition rules for  $FA_1$ .
- There are only finitely many possible collections of  $x$ -states, so the machine  $FA_2$  has only finitely many states.




### Example:

- Consider the regular expression  $r = aa^*bb^*$ .
- This defines the language where all the  $a$ 's come before all the  $b$ 's.
- The FA that accepts this language is:
- Let us now build  $FA_2$  that accepts  $r^* = (aa^*bb^*)^*$ .
- We begin with the start state  $z_1 = x_1$ .
- In  $z_1$ , reading an  $a$  takes us to  $x_2 = z_2$ . Reading a  $b$  takes us to  $x_3 = z_3$ .

- In  $z_3$ , just like  $x_3$ , reading either an  $a$  or a  $b$ , we loop back to  $z_3$ .



- In  $z_4$ , what happens if we read an  $a$ ? If  $z_4 = x_1$ , we go to  $x_2$ . If  $z_4 = x_4$ , we go to  $x_3$ . Hence, we be in  $x_2$  or  $x_3$ . So, let  $z_5 = x_2$  or  $x_3$ . 

- In  $z_4$ , if we read a  $b$ ? If  $z_4$  means  $x_1$ , we go  $x_3$  or jump to  $x_4$

$x_1$  (due to final  $x_4$ ). Thus, let  $z_6 = x_1$  or  $x_3$  or  $x_4$ .  $z_6$  must be a final state since  $x_4$  is.

- In  $z_5$ , reading an  $a$  takes us to  $x_2$  or  $x_3$ , which is still  $z$ . So, we have an  $a$ -loop at  $z_5$ .

- In  $z_5$ , reading a  $b$  takes us to  $x_4$  or  $x_l$ , or  $x_3$ , which is  $z_6$ .

- In  $z_6$ , reading an  $a$ , take us to  $x_2$  or  $x_3$ , which is  $z_5$ .

- In  $z_6$ , reading a  $b$  takes us to  $x_3$ ,  $x_4$ , or  $x_l$ , which is still  $z_6$ . So, we have a  $b$ -loop at

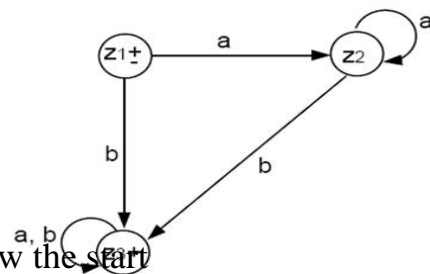
 $\mathbb{Z}_6$ .

- The final picture is as follows:

### Example: Special Case

- Consider the FA below that accepts the language of all words having an odd number of b's.

- Notice that this machine does not accept  $\Lambda$  and does allow the start state  $x_1$  to be re-entered.



- In this case we need to represent  $x_1$  as two separate z-states in  $FA_2$ , one as a start and final state  $\pm$ , and the other as the non-final start state.
- The  $\pm$  state is necessary for  $FA_2$  to accept . The non-final start state is necessary for

FA<sub>2</sub> to operate correctly, since some strings that return to the start state  $x_1$  may not be valid words and therefore should not be accepted.

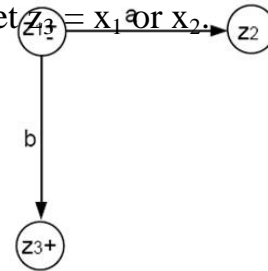
–  $z_1 \pm = x_1$  and a final state.

–  $z_2 = x_1$  and a non-final state.

• So, in  $z_1$  reading an  $a$ , we go back to  $x_1$  as a non-final state, which we labeled as  $z_2$ .

• In  $z_1$ , reading a  $b$  takes us to  $x_2$ , a final state, with the option of jumping back to the non-final start state  $x_1$ . So, let  $z_3 = x_1$  or  $x_2$ .

• Note that  $z_3$  must be a final state since  $x_2$  is.



• In  $z_2$ , if we read an  $a$ , we stay in  $z_2$ .

If we read a  $b$ , we go to  $x_2$  or back in  $x_1$  (as  $x_2$  is a final state); that is we go to  $z_3$ .

• In  $z_3$ , reading an  $a$  takes us to  $x_1$  or  $x_2$ , which is  $z_3$  itself. In  $z_3$ , reading a  $b$  takes us to  $x_2$  or  $x_1$ , which is also  $z_3$ . Hence, there is a  $a$ ;  $b$ -loop at  $z_3$ .

• The complete machine is

• We have finished the proof of part 3 of Kleene's theorem.

• Because of Rules 1, 2, 3, and 4, we know that all regular expressions have corresponding finite automata that define the same language.

• This is because while we are constructing the regular expression from elementary building blocks using recursive definition, we can simultaneously be constructing the corresponding FA using the algorithms discussed above.

**Definition:** A nondeterministic finite automaton (or NFA) is a TG with a unique start state and with the property that each of its edge labels is a single alphabet letter.

• The regular deterministic finite automata are referred to as DFAs, to distinguish them from NFAs.

• As a TG, an NFA can have arbitrarily many  $a$ -edges and arbitrarily many  $b$ -edges coming out of each state.

• An input string is accepted by an NFA if there exists any possible path from  $-$  to  $+$ .

**Examples of NFAs:**